UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/698,010	10/30/2003	Michael E. Landry	5259-10704	7409
23492 ROBERT DEB	7590 04/20/2007 ERARDINE		EXAM	INER
ABBOTT LAB			SWIGER III	, JAMES L
100 ABBOTT I DEPT. 377/APG			ART UNIT	PAPER NUMBER
	K, IL 60064-6008	•	3733	· ·
SHORTENED STATUTOR	Y PERIOD OF RESPONSE	MAIL DATE	DELIVER	Y MODE
3 MO	NTHS	04/20/2007	PAP	ER

Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

		Application No.	Applicant(s)			
	Office Assistant Occasions	10/698,010	LANDRY ET AL.			
	Office Action Summary	Examiner	Art Unit			
	·	James L. Swiger	3733			
Perio	The MAILING DATE of this communication a od for Reply	ppears on the cover sheet w	ith the correspondence address			
٧	A SHORTENED STATUTORY PERIOD FOR REF MHICHEVER IS LONGER, FROM THE MAILING Extensions of time may be available under the provisions of 37 CFR after SIX (6) MONTHS from the mailing date of this communication. If NO period for reply is specified above, the maximum statutory perion Failure to reply within the set or extended period for reply will, by state Any reply received by the Office later than three months after the material earned patent term adjustment. See 37 CFR 1.704(b).	DATE OF THIS COMMUNI 1.136(a). In no event, however, may a od will apply and will expire SIX (6) MOR tute, cause the application to become Al	CATION. reply be timely filed NTHS from the mailing date of this communication. BANDONED (35 U.S.C. § 133).			
Stati	ıs					
1)⊠ Responsive to communication(s) filed on <u>16</u>	January 2007.				
2a)⊠ This action is FINAL . 2b)□ This action is non-final.						
3	·	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is				
	closed in accordance with the practice unde	r Ex parte Quayle, 1935 C.[D. 11, 453 O.G. 213.			
Disp	osition of Claims					
4	I)⊠ Claim(s) <u>21-26,28,30,31 and 34-38</u> is/are pe	ending in the application.	·			
	4a) Of the above claim(s) is/are withd	rawn from consideration.				
5	5)⊠ Claim(s) <u>35-38</u> is/are allowed.					
	S) Claim(s) <u>21-26,28,30,31 and 34</u> is/are reject	ted.				
	7) Claim(s) is/are objected to.	.,				
8	B) ☐ Claim(s) are subject to restriction and	d/or election requirement.				
App	lication Papers					
(9)☐ The specification is objected to by the Exam	iner.				
10	D) $igotimes$ The drawing(s) filed on $10/7/2005$ is/are: a)	⊠ accepted or b)□ objecte	d to by the Examiner.			
	Applicant may not request that any objection to t					
	Replacement drawing sheet(s) including the corr					
1	 The oath or declaration is objected to by the 	Examiner. Note the attache	d Office Action or form PTO-152.			
Prio	rity under 35 U.S.C. § 119					
12	2) Acknowledgment is made of a claim for forei	gn priority under 35 U.S.C.	§ 119(a)-(d) or (f).			
	a) ☐ All b) ☐ Some * c) ☐ None of:	,	•			
	1. Certified copies of the priority docume		·			
	2. Certified copies of the priority docume					
	3. Copies of the certified copies of the p	•	received in this National Stage			
	application from the International Bure	• • • • • • • • • • • • • • • • • • • •				
	* See the attached detailed Office action for a I	ist of the certified copies no	received.			
		·				
Attac	hment(s)					
	Notice of References Cited (PTO-892)		Summary (PTO-413)			
2)	l		(s)/Mail Date Informal Patent Application			
411	(Information Liscostire Statementis) (PTO/SB/U8)	تاری این این این این این این این این این ای				

3) Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date

6) Other: ___

Art Unit: 3733

DETAILED ACTION

Claim Objections

Claim 21 is objected to because of the following informalities: It is suggested to change in line 9 "allow" to --allows--. Appropriate correction is required.

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 21-22, 25-26 and 34 are rejected under 35 U.S.C. 102(b) as being anticipated by Lutz (US 6,183,472). Lutz discloses a pedicle screw and assembly having a body (see fig. 4), arms extending from the body (portions adjacent to 26 and 28) each having internal threading (20) and each having an outer flange (16 and 18) that are capable of being attached to a detachable member (64 and 66). The device also includes a slot (34) for receiving an elongated member. Lutz further discloses a sleeve (42), a collar wherein the effective diameter of the body is larger than the diameter of the arms (see Fig. 4; body width at 14 compared to arm width at 18).

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the

Art Unit: 3733

subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 23 and 24 are rejected under 35 U.S.C. 103(a) as being unpatentable over Lutz '472 in view of Biedermann '467. Lutz discloses the claimed invention except for a ring and a collar that is capable of rotating with respect to a bone fastener. Biedermann discloses a pressure disk that is considered a ring (18) that enables the collar and bone anchor to be slidably disposed and be more secured (Col. 3, lines 45-62). It would have been obvious to one having ordinary skill in the art at the time the invention was made to construct the device of Lutz having at least a ring and a collar and fastener able to angulate when being slidably disposed in view of Biedermann to enable the collar and bone anchor to be slidably disposed and be more secured.

Claim 28 is rejected under 35 U.S.C. 103(a) as being unpatentable over Lutz in view of Mellinger et al. (US 6,302,888). Lutz discloses the claimed invention except for flanges with an indentation, a female modified thread comprising a female proximal surface, and a male modified thread comprising a male proximal surface and a male distal surface, wherein the male proximal surface is configured to couple with the female distal surface, a male modified thread that slopes forward at an angle, all of modifications of which inhibit separation of the arms.

Mellinger et al. disclose an indentation (34) capable of associating with the flanges that allow the vertical faces to associate with an instrument in use (col. 4, lines 18-28), both male and female modified threads (See Fig. 6, items 40 and 50) that, with

Art Unit: 3733

their respective proximal and distal sides, are capable of coupling with one another to better secure the arms in place (Col. 4, lines 28-42). It would have been obvious to one having ordinary skill in the art at the time the invention was made to construct the device of Lutz having at least an indentation and a male/female modified thread in view of Mellinger et al. to allow a more secure attachment to an instrument and to better secure the arms, respectively.

Claims 30-31 is rejected under 35 U.S.C. 103(a) as being unpatentable over Lutz '472 in view of Jackson (US 6,368,321). Lutz discloses the claimed invention except for one or more threaded openings proximate to at least one of the flanges. Jackson discloses a device having two threaded bores (53 and 54) that allow for better securement to a "ball-shaped member" or in the instant case, a rod member (Col. 4, lines 63-67 through Col. 5, lines 1-5). It would have been obvious to one having ordinary skill in the art at the time the invention was made to construct the device of Lutz having at least threaded openings in the side of the collar to allow attachment and better securing of the rod member in use.

Allowable Subject Matter

Claims 35-38 are allowed.

Response to Arguments

Applicant's arguments filed 1/16/2007 have been fully considered but they are not persuasive. It is still held that the claims read over the prior art. Particularly, the art still teaches a flange with an indentation that allows for a detachable member that would align with a slot in the collar.

Conclusion

THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to James L. Swiger whose telephone number is 571-272-5557. The examiner can normally be reached on Monday through Friday, 9:00am to 5:30pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Eduardo Robert can be reached on 571-272-4719. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 3733

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

JLS

X 4/16/07

EDUARDO C, ROBERT SUPERVISORY PAVENT EXAMINER Page 6